

On the length of arithmetic progressions in linear combinations of S -units

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To Professors A. Pethő and J. Pintz on the occasion of their 60th birthdays

Abstract. Recent finiteness results concerning the lengths of arithmetic progressions in linear combinations of elements from finitely generated multiplicative groups have found applications to a variety of problems in number theory. In the present paper, we significantly refine the existing arguments and give an explicit upper bound on the length of such progressions.

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1. Introduction and the main result

Linear equations involving elements from a finitely generated multiplicative group Γ , such as S -unit equations for example, are very important in many Diophantine problems. For the theory and applications of such and related equations we refer to [3, 4, 5, 6, 7, 8], and the references therein. Recently, Hajdu [9], and Jarden and Narkiewicz [10], independently, have investigated arithmetic progressions in the linear combinations of elements from such groups Γ . Their results had found several applications to Diophantine problems. To present these results and their applications as well as to clarify our aims, we first need to introduce some notation.

Let K be an algebraically closed field of characteristic zero. Write K^* for the multiplicative group of the nonzero elements of K , and let Γ be a multiplicative subgroup of K^* of finite rank r . Avoiding the trivial case, throughout the paper

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we shall assume that $r > 0$. Note that for $r = 0$ our result is obviously true. Let t be a positive integer, and let \mathcal{A} be a finite, nonempty subset of K^t having n elements. Put

$$H_t(\Gamma, \mathcal{A}) = \left\{ \sum_{i=1}^t a_i x_i : (a_1, \dots, a_t) \in \mathcal{A}, (x_1, \dots, x_t) \in \Gamma^t \right\}.$$

Let L be the length of the longest nonconstant arithmetic progression in $H_t(\Gamma, \mathcal{A})$. Hajdu [9], showed that this number is finite, and that it can be bounded in terms of r , t and n . A similar result with somewhat more special settings (e.g., assuming $n = 1$ and $\mathcal{A} = \{(1, \dots, 1)\}$) has been obtained by Jarden and Narkiewicz [10]. Although recent, these results have already found many applications to problems coming from different parts of number theory: to the so-called unit sum number problem (see [10]), to a question of M. Pohst about representing primes as sums or differences of powers of 2 and 3 (see [9]), and to bound the lengths of arithmetic progressions in the solution sets of norm form equations (c.f. [2]).

Interestingly, aside from a result of Evertse, Schlickewei and Schmidt [6] on the number of nondegenerate solutions to linear equations with unknowns from a finitely generated group, the proofs of the theorems of Hajdu [9] and Jarden and Narkiewicz [10] are also based upon a classical result of van der Waerden [12] concerning monochromatic arithmetic progressions. Another common feature of the results of [9] and [10] is that the upper bounds for L are not explicitly given. One could go through the above papers and write down an upper bound for L based on their arguments, but since the proofs use van der Waerden's result, it is quite likely that the upper bound one would end up in this way with will be huge.

Our main result is an explicit upper bound for L depending only on r , t and n . Our argument is different from the ones from [9] and [10] and avoids the use of van der Waerden's theorem, and so it is clearly much smaller than the ones which would follow from the works [9] and [10]. We note that a relatively small, and completely explicit upper bound for L is important also for the applications. For example, it becomes possible to make explicit the bound for the lengths of arithmetic progressions in the solution sets of norm form equations, given in [2].

Theorem 1.1. *With the above notation, we have*

$$L < \exp \left((8(n+t+r))^{8(n+t+r)^4} \right). \quad (1.1)$$

2. Proof of Theorem 1.1

To prove Theorem 1.1, we need two lemmas. The first one is due to Amoroso and Viada [1] and concerns the number of nondegenerate solutions to linear equations with variables from Γ . This result is a recent improvement of a result from [6]. Consider the equation

$$a_1 x_1 + \dots + a_k x_k = 1, \quad (2.1)$$

where $a_1, \dots, a_k \in K^*$ and x_1, \dots, x_k are unknowns from Γ . A solution (x_1, \dots, x_k) to equation (2.1) is called *nondegenerate* if $\sum_{i \in J} a_i x_i \neq 0$ for all nonempty subsets J of $\{1, \dots, k\}$.

Lemma 2.1. *Equation (2.1) has at most $C(k, r) := (8k)^{4k^4(k+r+1)}$ nondegenerate solutions $(x_1, \dots, x_k) \in \Gamma^k$.*

Proof. This is an immediate consequence of Theorem 6.2 in [1]. \square

For the next lemma, which is an analogue of the well-known exchange theorem of Steinitz from linear algebra, we need the following notion. Let H_1 and H_2 be two subsets of K^* . We say that H_1 and H_2 are *multiplicatively independent* if for any $h_1 \in H_1$, $h_2 \in H_2$, and $z_1, z_2 \in \mathbb{Z}$ we have $h_1^{z_1} h_2^{z_2} = 1$ only for $z_1 = z_2 = 0$.

Lemma 2.2. *Let Γ be as above, and suppose that $\alpha_1, \dots, \alpha_m$ are multiplicatively independent elements of K^* , namely that*

$$\alpha_1^{z_1} \cdots \alpha_m^{z_m} = 1 \quad (z_j \in \mathbb{Z}, j = 1, \dots, m)$$

only when $z_1 = \cdots = z_m = 0$. Then there exist indices j_1, \dots, j_{m-r} such that Γ and B are multiplicatively independent, where

$$B = \{\alpha_{j_1}^{z_1} \cdots \alpha_{j_{m-r}}^{z_{m-r}} : z_1, \dots, z_{m-r} \in \mathbb{Z}\}.$$

Proof. Assume that $m > r$, otherwise we have nothing to prove. Since the rank of Γ is r , there exists an index j such that $\alpha_j \in K^* \setminus \Gamma$. Without loss of generality, we may assume that $j = 1$, i.e. $\alpha_1 \in K^* \setminus \Gamma$. Then we obviously have that Γ and B_1 are multiplicatively independent, where $B_1 = \{\alpha_1^{z_1} : z_1 \in \mathbb{Z}\}$. Assume now that we have already chosen $j < m - r$ elements, say $\alpha_1, \dots, \alpha_j$, such that Γ and B_j are multiplicatively independent, where

$$B_j = \{\alpha_1^{z_1} \cdots \alpha_j^{z_j} : z_1, \dots, z_j \in \mathbb{Z}\}.$$

Obviously, ΓB_j has rank $r + j$. Hence, there must exist an index j' with $j < j' \leq m$ such that ΓB_j and $B_{j'}$ are multiplicatively independent, where $B_{j'} = \{\alpha_{j'}^{z_{j'}} : z_{j'} \in \mathbb{Z}\}$, because otherwise ΓB_j would contain $m > r + j$ multiplicatively independent elements, which is impossible. The statement now follows by induction on m . \square

Now we can prove our main result.

Proof of Theorem 1.1. As it is well-known, K has a subring R isomorphic to \mathbb{Z} . For simplicity, we will just assume that $R = \mathbb{Z}$. Let s be a positive integer to be chosen later and let $H = \{p_1, \dots, p_{r+s}\}$ be the set of the first $r + s$ primes. Then, by Lemma 2.2, we have that there is a subset $Q = \{q_1, \dots, q_s\}$ of H such that

$$H' := \{q_1^{\beta_1} \cdots q_s^{\beta_s} : \beta_1, \dots, \beta_s \in \mathbb{Z}\}$$

and Γ are multiplicatively independent. Write

$$\mathcal{I} := H' \cap \{1, \dots, L - 1\}.$$

Assume that y_0, y_1, \dots, y_{L-1} is some nonconstant arithmetic progression in $H_t(\Gamma, \mathcal{A})$, where L does not satisfy the desired inequality (1.1). Observe that for every $i \in \mathcal{I}$ we have

$$y_0 + i(y_1 - y_0) = y_i.$$

We may assume that $y_0 y_1$ is nonzero, otherwise we apply our argument for the progression y'_0, \dots, y'_{L-1} with $y'_j = y_{L-1-j}$ ($j = 0, \dots, L-1$) (observe that $L > 3$ since L fails to satisfy inequality (1.1)). Thus, the above equation can be rewritten as

$$i(y_0 - y_1)/y_0 + y_i/y_0 = 1.$$

Hence, writing

$$y_i = \sum_{\ell=1}^t a_{\ell,i} x_{\ell,i},$$

where $(a_{1,i}, \dots, a_{t,i}) \in \mathcal{A}$ and $x_{\ell,i} \in \Gamma$ for all $\ell = 1, \dots, t$, we get

$$a'_{0,i} i + \sum_{\ell=1}^t a'_{\ell,i} x_{\ell,i} = 1, \quad (2.2)$$

where

$$a'_{0,i} = (y_0 - y_1)/y_0, \quad a'_{\ell,i} = a_{\ell,i}/y_0.$$

Note that $a'_{0,i} \neq 0$ because $y_0 \neq y_1$. Equation (2.2) can be thought of as an equation of the shape (2.1) with unknowns in $\Gamma' = \Gamma H'$. (Observe that i varies only inside H). For any solution, equation (2.2) splits into a disjoint union of nondegenerate equations (i.e., subequations having no proper zero subsums). Assume first that the nondegenerate subequation containing the 1 in the right hand side contains the variable i corresponding to the index 0 in the left hand side. Then, by Lemma 2.1, for any $(a_{1,i}, \dots, a_{t,i}) \in \mathcal{A}$ the number of choices for i is

$$\leq C(t+1, r+s) = (8(t+1))^{4(t+1)^4(t+r+s+2)},$$

and the number of possibilities (i.e., subsets of indices involved) for the actual subequation is $< 2^t$. Assume now that the index 0 is not in the left hand side of the nondegenerate subequation containing 1 on the right hand side. This means that the nondegenerate equation that i is involved in looks like

$$a'_{0,i} i + \sum_{\ell \in \mathcal{L}} a'_{\ell,i} x_{\ell,i} = 0, \quad (2.3)$$

for some nonempty subset \mathcal{L} of $\{1, \dots, t\}$. This can be rewritten as

$$\sum_{\ell \in \mathcal{L}} \hat{a}_{\ell,i} \hat{x}_{\ell,i} = 1,$$

where $\hat{a}_{\ell,i} := -a'_{\ell,i}/a'_{0,i}$, $\hat{x}_{\ell,i} := x_{\ell,i}/i$. By Lemma 2.1, there are only at most

$$C(t, r+s) = (8t)^{4t^4(t+r+s+1)}$$

such solutions for any $(a_{1,i}, \dots, a_{t,i}) \in \mathcal{A}$. Given any of the numbers $\hat{x}_{\ell,i}$ for a solution, i is uniquely recovered since $i \in \mathcal{I} \subset H'$, and Γ and H' are multiplicatively independent. Again, the number of possibilities for the set \mathcal{L} is $< 2^t$.

Putting everything together, we see that all $i \in \mathcal{I}$ occurs as a solution of either (2.2) or of (2.3). Since $|\mathcal{A}| = n$, the vector $(a_{1,i}, \dots, a_{t,i})$ can be chosen in at most n^t ways. Thus, the number of possible equations both of the form (2.2) and of the shape (2.3) is $< (2n)^t$. Hence, it follows that

$$|\mathcal{I}| < n^t 2^{t+1} (8(t+1))^{4(t+1)^4(t+r+s+2)}. \quad (2.4)$$

A good lower bound for $|\mathcal{I}|$ in terms of L is the cardinality of the set of positive integers $i \leq L-1$ which are divisible only by primes p_{r+1}, \dots, p_{r+s} , where p_ℓ stands for the ℓ th prime number. Let $\omega := \lfloor \log(L-1) / \log p_{r+s} \rfloor$. Then

$$|\mathcal{I}| \geq \binom{\omega+s}{s} \geq \left(\frac{e\omega}{s}\right)^s, \quad (2.5)$$

provided that $\omega > s$, which we check below. In the last inequality above we used the fact that $s! \geq (s/e)^s$. Further, to see the first inequality in (2.5) above, observe that the binomial coefficient in (2.5) counts the number of s -tuples of nonnegative integers $(\beta_1, \dots, \beta_s)$ such that $\beta_1 + \dots + \beta_s \leq \omega$. Indeed, putting $\gamma_i = \sum_{j=1}^i (\beta_j + 1)$, then $1 \leq \gamma_1 < \dots < \gamma_s \leq \omega + s$, and the above binomial coefficient is the exact count for the number of s -tuples of γ_i 's. Since $\beta_i = \gamma_i - \gamma_{i-1} - 1$ (with $\gamma_0 := 0$ by convention), we have a one-to-one correspondence between the s -tuples of β_i 's and the s -tuples of γ_i 's. Clearly, for each s -tuple of β_i 's, the number $q_1^{\beta_1} \dots q_s^{\beta_s}$ is $\leq L-1$ so it belongs to \mathcal{I} , and distinct s -tuples of β_i 's give rise to distinct members of \mathcal{I} by unique factorization.

Let us now check that $\omega \geq s$. Assuming also that $s > \max\{2, r\}$, we have that

$$p_{r+s} < p_{2s} \leq 4s \log(4s) < s^3.$$

For the above inequality, we used known effective estimates concerning the size of the ℓ th prime (see [11], for example). In fact, the very last inequality above actually fails for $s = 3$, but in this case we have that inequality $p_{r+s} \leq p_5 = 11 < 27 = 3^3$ holds true nevertheless. Clearly, $L-1 > L^{1/2}$ for $L > 2$, therefore

$$\frac{\log(L-1)}{\log p_{r+s}} > \frac{\log L}{6 \log s},$$

so that $\omega \geq (\log L) / (12 \log s)$. Hence, the inequality $\omega \geq s$ is implied by $\log L > 12s \log s$, which in turn is implied by $\log L > 12s^2$. Hence, assuming that $s \geq 3$ and that $\log L \geq 12s^2$, everything works out and we get that

$$\frac{e\omega}{s} > \frac{\log L}{6s \log s}, \quad (2.6)$$

because $e > 2$. Now estimate (2.5) implies that

$$|\mathcal{I}| > \left(\frac{\log L}{6s \log s}\right)^s.$$

Comparing the above lower bound with inequality (2.4), we arrive at

$$\log L < 6s(\log s)n^{t/s}2^{(t+1)/s}(8(t+1))^{4(t+1)^4(t+r+s+2)/s}.$$

Now take $s = n + t + r$. Using that n, t, r are all positive whence $s \geq 3$, we get

$$\log L < 6s(\log s)(s-2)2^{1-1/s}(8(s-1))^{4(s-1)^4(2+1/s)} < (8s)^{4(s-1)^4(2+1/s)+3}.$$

A simple calculation shows that we have

$$4(s-1)^4(2+1/s) + 3 < 8s^4.$$

This implies that

$$\log L < (8s)^{8s^4},$$

and the desired inequality follows. \square

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